

JCO2 Rec'd PCT/PTO 27 MAR 2002 #6

THE UNITED STATES PATENT AND TRADEMARK OFFICE  
In re the Application of: **KUSUMOTO, TETSUO et al**

Attention: **Applications Division**

Serial Number: **09/926,838**

Group Art Unit: **1756**

Filed: **December 28, 2001**

FOR: **COMPOUND WITH TETRAHYDRONAPHTHALENE SKELETON AND  
LIQUID CRYSTAL COMPOSITION CONTAINING SAME**

P.T.O. Confirmation No.: **6413**

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
Washington, D.C. 20231

March 27, 2002

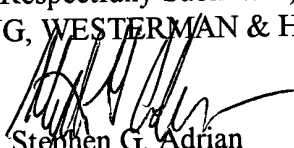
Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted an error in that the second inventor's last name is misspelled ("Yoshitake" should read as -Yoshitaka-). A copy of the Declaration is enclosed which indicates the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully Submitted,  
ARMSTRONG, WESTERMAN & HATTORI, LLP

  
Stephen G. Adrian  
Attorney for Applicant  
Reg. No. 32,878

SGA/srb  
Atty. Docket No. **011771**  
Suite 1000, 1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930



**23850**

PATENT TRADEMARK OFFICE

RECEIVED  
APR 10 2002  
TC 1700

Enclosures: Official Filing Receipt with Highlighted Corrections; Copy of Declaration

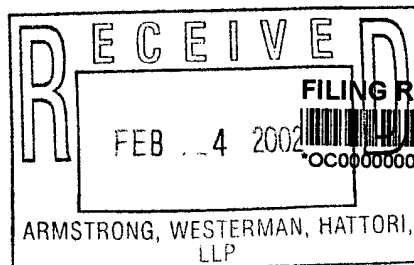


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

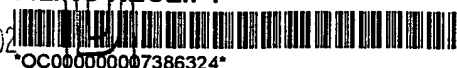
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/926,838	12/28/2001	1756	2880	011771		27	1

23850  
ARMSTRONG, WESTERMAN & HATTORI, LLP  
1725 K STREET, NW.  
SUITE 1000  
WASHINGTON, DC 20006



CONFIRMATION NO. 6413

FILING RECEIPT



\*OC00000007386324\*

Date Mailed: 01/30/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

*Yoshitake* Tetsuo Kusumoto, Kitaadachi-gun, JAPAN;  
Yoshitake Saito, Iwatsuki-shi, JAPAN;  
Makoto Negishi, Tokyo, JAPAN;  
Yutaka Nagashima, Ageo-shi, JAPAN;  
Sadao Takehara, Sakura-shi, JAPAN;  
Haruyoshi Takatsu, Tokyo, JAPAN;  
Gerwald Grahe, Berlin, GERMANY;  
Rainer Bruno Frings, Berlin, GERMANY;  
Cornelia Pithart, Berlin, GERMANY;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP99/04919 09/10/1999

## Foreign Applications

JAPAN 11/184786 06/30/1999  
JAPAN 11/191670 07/06/1999

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

**Title**

Compound having tetrahydronaphthalene skeleton and liquid crystal composition containing same

**Preliminary Class**

252

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).